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05/15/01
JC867 U.S. PTO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

PATENT APPLICATION

Inventor(s): Michieal L. Jones
Steven M. Jaffe
Brian L. Olmsted

SC/Serial No.: Unknown

Filed: Herewith

Title: WAVEGUIDE BASED LIGHT SOURCE

Customer No. 23910

JC996 U.S. PTO
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**CERTIFICATE OF MAILING BY "EXPRESS MAIL"
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I hereby certify that this correspondence is being deposited with the United States Postal Service, utilizing the "Express Mail Post Office to Addressee" service addressed to **Box PATENT APPLICATION, Commissioner for Patents, Washington, DC 20231** and mailed on the above Date of Mailing with the above "Express Mail" mailing label number.

Johann S. Mercado

Signature Date: May 15, 2001

(Signature)

UTILITY PATENT APPLICATION TRANSMITTAL LETTER UNDER 37 C.F.R §1.53(b)

Box PATENT APPLICATION
Commissioner for Patents
Washington, DC 20231

Sir:

Transmitted herewith for filing is the patent application identified as follows:

Inventor(s): Michieal L. Jones, Davis, CA
Steven M. Jaffe, Palo Alto, CA
Brian L. Olmsted, Fairport, NY

Title: WAVEGUIDE BASED LIGHT SOURCE

No. of pages in Specification: 12 ; No. of Claims: 20 .

No. of Sheets of Drawings: 3 ; Formal: , Informal: X .

Also enclosed are:

- ☐ A Declaration.
- ☐ An Assignment and Recordation Form Cover Sheet.
- ☐ A certified copy of a priority application.
- ☐ A Power of Attorney.
- ☐ A Statement Claiming Small Entity Status.
- ☐ An Information Disclosure Statement under 37 C.F.R. §1.56.

The filing fee pursuant to 37 C.F.R. §1.16 is determined as follows:

No. Filed	No. Extra	Rate Small Entity/ Other Than Small Entity		
Basic Fee		\$355.00		
		\$710.00	=	\$355.00
Total		\$ 9.00		
Claims <u>20</u> - 20	= <u>0</u> *	X	\$ 18.00	= \$
Independent		\$ 40.00		
Claims <u>3</u> - 3	= <u>0</u> *	X	\$ 80.00	= \$
First Presentation of		\$135.00		
Multiple Dependent Claim(s) <u> </u>		\$270.00	=	\$
		Total	=	\$355.00

*If the difference is less than zero, enter "0".

- ☒ A check in the amount of \$355.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge underpayment of any additional fees (including those listed below) or credit any overpayment associated with this communication to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.
- ☒ Any additional filing fees under 37 C.F.R. §1.16.
- ☒ Any patent application processing fees under 37 C.F.R. §1.17.

This application is filed pursuant to 37 C.F.R. §1.53(b) in the name of the above-identified Inventor(s).

X This application claims priority to an earlier-filed Provisional patent application, as set forth more fully in this application.

Please direct all correspondence concerning the above-identified application to the following address:

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Respectfully submitted,

Date: May 15, 2001

By: 

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